


## Content

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| Title :       | Regulations Governing Qualification Requirements and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of Banks<br>  |
| Date :        | 2023.04.13  |
| Legislative : | <ol style="list-style-type: none"><li>1.Promulgated on September 19, 1991</li><li>2.Amended on June 04, 1993</li><li>3.Amended on May 20, 1995</li><li>4.Amended on November 15, 1999</li><li>5.Amended on June 29, 2001</li><li>6.Promulgated by The Ministry of Finance As Last Amended on January 22, 2002</li><li>7.Promulgated by The Ministry of Finance As Last Amended on May 27, 2003</li><li>8.Amended per Order Jin-Kuan-Yin-(2)-Zi-No. 0942000079 dated 2005/03/25</li><li>9.Amended on May 01, 2007</li><li>10.Amended on May 23, 2008</li><li>11.Article 3 amended and promulgated on 4 February 2010</li><li>12.Name and Article 3、3-1、4、12、13 amended 19 October 2010 per Order No. Jin-Kuan-Yin-Kong-Zi-09960005670 of the Financial Supervisory Commission, Executive Yuan</li><li>13.Article 3 -1 amended, Article 3 -2 added and Article 12 deleted by Financial Supervisory Commission Order Jin-Kuan-Yin-Guo-10420003260 on September 16, 2015.</li><li>14.Article 3, 3-1, 9 and 15 amended and Article 3-3 added, per 12 October 2018 Order No. Financial-Supervisory-Banking-Legal-10702736780. The Amendment shall take effect on the date of promulgation except that the amended Article 3-3 and Paragraph 2 to Paragraph 4 of Article 9 shall take effect on July 1, 2019.</li><li>15. Article 5 amended on 26 October 2020 per Order No. Jin-Kuan-Yin-Guo-10902733171 of the Financial Supervisory Commission.</li><li>16. Article 1, 3, 3-1, 3-3, 13 and 14 amended on 13 April 2023 per Order No. Jin-Kuan-Yin-Guo-1201318981 of the Financial Supervisory Commission.</li></ol> |
| Content :     | As the attachment.  |
| Files :       | <a href="#">Regulations Governing Qualification Requirements and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of Banks1120413.pdf</a>  |

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