Content

Title：	Regulations Governing Qualification Requirements for the Founder or Responsible Persons of Financial Holding Companies and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of a Financial Holding Company Ch
Date：	2020.10.26
Legislative：	1. Full text of 10 articles adopted and promulgated on 31 October 2001 per Order No. (90)-Tai-Tsai-Rong-(II)-0900006540 of the Ministry of Finance; for enforcement from 1 November 2001 2. Name and full text of 18 articles amended and promulgated 22 February 2005 per Order No. Jin-Kuan-Yin-(VI)-0946000086 of the Financial Supervisory Commission, Executive Yuan; for enforcement from the date of issuance except for Article 4, paragraph 3, and Article 14, paragraph 2, which are to be enforced from 1 January 2006 (original name: Regulations Governing the Scope of Financial Holding Company Promoters and Responsible Persons and their Qualification Requirements) 3. Article 4 and 18 amended 4 February 2010 per Order No. Jin-Guan-Fa-Zi-09900542800 of the Financial Supervisory Commission, Executive Yuan 4. Name and full text of 17 articles amended and promulgated 19 October 2010 per Order No. Jin-Kuan-Yin-Kong-Zi-09960005670 of the Financial Supervisory Commission, Executive Yuan 5. Article 12 to 15 amended and article 16 deleted on 16 September 2015 per Order No. Jin-Guan-Fa-Zi- 10460003040 of the Financial Supervisory Commission 6. Article 4 amended on 5 February 2018 per Order No. Jin-Guan-Yin-Kong-Zi Order No.10660005730 of the Financial Supervisory Commission 7. Article 3, 4, 9 and 17 amended and Article 4-1 added, per 12 October 2018 Order No. Financial-Supervisory-Banking-Legal-10702736780. The Amendment shall take effect on the date of promulgation except that the amended Article 4-1 and Paragraph 2 to Paragraph 4 of Article 9 shall take effect on July 1, 2019. 8. Article 6 amended on 26 October 2020 per Order No. Jin-Guan-Yin-Kong-Zi Order No. 10902733171 of the Financial Supervisory Commission
Content：	as the attachment
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