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Content

Title: Regulations Governing the Capital Adequacy and Capital Category of Banks Date: 2019.12.23 Legislative: 1. The full text of 11 articles promulgated by the Ministry of Finance Order Tai-Tsai-Rong (1) Zi-811738891 on April 16, 1992. 2. The full amended text of 10 articles promulgated by the Ministry of Finance on May 4, 1998 per Order Ref. No. (1998) Tai-Tsai- Rong-(1)-Zi-87720554, which took effect on December 31, 1998. 3. The full amended text of 11 articles promulgated by the Ministry of Finance on January 16, 2001 per Order Ref. No. (2001) Tai-Tsai- Rong-(1)-Zi-0090345106, which took effect on January1, 2002. 4. Amended and promulgated Article 4 of Tai-Tsai-Rong-(1)-Zi-0928011668 by the Ministry of Finance on December 9, 2003. 5. Article 4 amended and promulgated by Financial Supervisory Commission, Executive Yuan Order Jin-Kuan-Yin-(I)- 0931000649 on November 9, 2004. 6. The full text of 15 articles amended and promulgated by Financial Supervisory Commission, Executive Yuan Order Jin-Kuan-Yin-(I)-09610000020 on January 4, 2007. 7. Article 10 amended and promulgated by Financial Supervisory Commission, Executive Yuan Order Jin-Kuan-Yin-(1)-09620006290 on September 6, 2007. 8. Article 1 is promulgated and 2-1 and 10-1 are added by the Financial Supervisory Commission on June 30, 2009 Amendment Order Ref. No.: Jin-Guan-Yin-(Fa)-zi- 09810003110. 9. The full text of 18 articles amended and promulgated by Financial Supervisory Commission Order Jin-Kuan-Yin-(I)- 10110007010 on November 26, 2012. The Regulations shall become effective from January 1, 2013 except the provisions of the minimum rate of leverage ratio prescribed in Article 4 which shall become effective from January 1, 2018. 10. Article 9 and article 18 amended and promulgated by Financial Supervisory Commission on January 9, 2014 Amendment Order Ref. No.: Jin-Guan-Yin-(Fa)-zi- 10200362920. 11. The full text of 20 articles amended and promulgated by Financial Supervisory Commission Order Jin-Kuan-Yin-(Fa)-zi-10802744341 on December 23, 2019. The regulations shall become effective from January 1, 2020 except for Article 7 which shall become effective on the date of promulgation. Content: as the attachment Attachments: 1081223Regulations Governing the Capital Adequacy and Capital Category of

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