Print Time: 113.05.10 18:20

Content

Title: Financial-Supervisory-Securities-Firms-1020014375 Ch

Date: 2013.05.31

Legislative: Issue date: 31 May 2013

Content: Order of the Financial Supervisory Commission

Issue date: 31 May 2013

Issue no.: Financial-Supervisory-Securities-Firms-1020014375

- 1. This Order is issued pursuant to Article 3, subparagraph 4 of the Regulations Governing Securities Investment and Futures Trading in Taiwan by Mainland Area Investors ("the Regulations").
- 2. The following Mainland Area investors are now allowed to engage in securities investment and futures trading in Taiwan:
- (1) Qualified institutional investors approved by the competent banking authority of the Mainland Area.
- (2) Qualified institutional investors approved by the competent insurance authority of the Mainland Area.
- 3. The qualified institutional investors specified above are also institutional investors for purposes of the Regulations.
- 4. This Order shall take effect from this day forward.

Originals: To be posted on the public notice boards of the Financial Supervisory Commission (FSC) and the Securities and Futures Bureau of the FSC.

Copies: Legal Affairs Committee, Executive Yuan; Taiwan Stock Exchange Corporation; GreTai Securities Market; Taiwan Securities Association; Taiwan Depository & Clearing Corporation; Financial Examination Bureau of the FSC; Banking Bureau of the FSC; Insurance Bureau of the FSC; FSC (Department of Legal Affairs and Department of Information Management); Lex Data Information Inc.; Winkler Partners, Attorneys at Law; all custodian banks.

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