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Title : Financial-Supervisory-Securities-Firms-1150380806 **Ch**

Date : 2026.03.10

Content : Order of the Financial Supervisory Commission (FSC)

Issue Date: 10 March 2026

Issue No.: Financial-Supervisory-Securities-Firms-1150380806

1. When a securities firm carries out matters required by law or regulation to be publicly announced or reported in connection with the following, it shall transmit the relevant filings through the Market Observation Post System (<https://sii.twse.com.tw>):

A. Financial reports and relevant attachments under Article 21 of the Regulations Governing Securities Firms.

B. Regulations Governing the Qualification Requirements and Professional Development of Principal Accounting Officers of Issuers, Securities Firms, and Securities Exchanges.

2. Once a securities firm has transmitted a filing in accordance with the preceding point, it is deemed to have duly completed public announcement and reporting.

3. This order is effective from fiscal 2026. The 1 December 2023 Order No. Financial-Supervisory-Securities-Firms-1120384569 of the FSC is repealed from fiscal 2026.

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Files : Financial-Supervisory-Securities-Firms-1150380806.txt

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Data Source : Financial Supervisory Commission Laws and Regulations Retrieving System