

Content

Title : Regulations Governing Information to be Published in Financial Institution
Prospectuses for Offering and Issuance of Securities **Ch**

Date : 2023.02.24

Legislative : 1. Promulgated by the Securities and Exchange Commission, Ministry of Finance on February 23, 1993 per letter Ref. No. (82) Taiwan-Finance-Securities (I) 00380

2. 28 articles amended and promulgated in full by the Securities and Exchange Commission, Ministry of Finance, on 30 May 1995 per letter Ref. No. (84) Taiwan-Finance-Securities (I) 01343

3. 30 articles amended and promulgated in full by the Securities and Futures Commission, Ministry of Finance, on 23 February 1999 per letter Ref. No. (88) Taiwan-Finance-Securities (I) 15663

4. Article 32 amended and promulgated by the Securities and Futures Commission, Ministry of Finance, on 16 April 2001 per letter Ref. No. (90) Taiwan-Finance-Securities (I) 000908; amendments in force from date of promulgation

5. 37 articles amended and promulgated in full by the Securities and Futures Commission, Ministry of Finance, on 20 September 2002 per letter Ref. No. Taiwan-Finance-Securities (I) 0910004898; amendments in force from date of promulgation

6. Full text of 39 articles amended and issued 26 December 2005 Order No. Financial-Supervisory-Banking-(I)-09410009950 of the Financial Supervisory Commission, Executive Yuan; for implementation from 1 January 2006

7. Full text of 41 articles amended and issued per 30 October 2012 Order No. Financial-Supervisory-Banking-Legal-10110006180 of the Financial Supervisory Commission; for implementation from the date of issuance, except for Article 6, subparagraphs 2, and 4, Article 9, Attachments 2 to 4, Article 10, paragraph 1, subparagraph 6, item 1, point 2 and item 2, and Attachments 9 and 10, Article 11, subparagraph 3, item 6, and Attachment 18, Article 21, introductory paragraph, subparagraph 1, item 1, Attachment 48, and subparagraph 2, item 1, Article 22, Article 24, Article 25, last part of subparagraph 1, and subparagraph 11, item 1, Article 28, Article 29, Article 30, and Article 31, which shall be implemented from the fiscal year of 2013

8. Articles 3, 6, 10, 21, 25, 32, 35, and 37, Schedule 14 of Article 11, Schedule 38 of Article 16, Schedule 41 of Article 17, Schedule 57 of Article 27, Schedule 58-59 of Article 28, Schedule 65,67 and 68 of Article 33 amended and issued, and Article 36 deleted, per 13 March 2015 Order No. Financial-Supervisory-Banking-Legal-10400038310 of the Financial Supervisory Commission

9. Article 11, Schedule 9~10 of Article 10, Schedule 69-1 of Article 35 amended and issued, and Article 35 deleted, per 24 July 2015 Order No. Financial-Supervisory-Banking-Legal-10400158090 of the Financial Supervisory Commission

10. Article 6, 10, Schedule 38 of Article 16, Schedule 41 of Article 17, Schedule 62,63,65 and 66 of Article 33, amended and issued, and Article 40 deleted, per 3 March 2017 Order No. Financial-Supervisory-Banking-Legal-10610000960 of the Financial Supervisory Commission

11. Article 10 and 20 amended and issued per 6 July 2018 Order No. Financial-Supervisory-Banking-Legal-10702725240 of the Financial Supervisory Commission

12. Article 3, 10, 11, 21, 32 and 33 amended and issued per 24 March 2020 Order No. Financial-Supervisory-Banking-Legal-10902707461 of the Financial Supervisory Commission

13. Article 3, 9,10, 11, 20, 33 and 39 amended and issued per 22 April 2022 Order No. Financial-Supervisory-Banking-Legal-11102709431 of the Financial Supervisory Commission

14. Articles 16,17,33 and 41 and Table 19 of Article 11, Table 37 of Article 16, Table 40 of Article 17, Table 58 of Article 28, Table 67 of Article 33 and Table 67-1 of Article 33 amended per 24 February 2023 Order No. Financial-Supervisory-Banking-Legal-11202703341 of the Financial Supervisory Commission, for enforcement from the date of issuance, with the exception for the second half of subparagraph 6 of Article 33, which will be enforced from 1 January 2024

Content : As the attachment.

Files : Regulations Governing Information to be Published in Financial Institution Prospectuses for Offering and Issuance of Securities1120224.pdf

Data Source : Financial Supervisory Commission Laws and Regulations Retrieving System