


## Content

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| Title :       | Order of the Financial Supervisory Commission Issue date: 11 January 2018<br>Issue no.: Financial-Supervisory-Securities-Firms-1070300104    |
| Date :        | 2018.01.11  |
| Legislative : | Order of the Financial Supervisory Commission<br><br>Issue date: 11 January 2018<br>Issue no.: Financial-Supervisory-Securities-Firms-1070300104  |
| Content :     | <p>1. Overseas Chinese and foreign nationals that intend to invest in securities in accordance with the provisions of Article 2 of the Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals may do so by retaining, on a mandate basis, a securities investment trust enterprise (SITE), securities investment consulting enterprise (SICE), trust enterprise, managed futures enterprise, or securities broker that has been approved by the Financial Supervisory Commission (FSC) to conduct discretionary investment business.</p> <p>2. Overseas Chinese and foreign nationals that invest in securities in accordance with the preceding point shall do so in compliance with the Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals; the Regulations Governing the Conduct of Discretionary Investment Business by Securities Investment Trust Enterprises and Securities Investment Consulting Enterprises; the Regulations Governing Managed Futures Enterprises, and other applicable provisions.</p> <p>3. An enterprise listed under Point 1 that intends to conduct discretionary management of foreign-currency investments by overseas Chinese and foreign nationals in securities denominated in a foreign currency shall first obtain permission from the Central Bank.</p> <p>4. This Order is effective from this day forward. The 30 July 2007 Order No. Financial-Supervisory-Securities-VIII-0960027617 of the FSC is repealed from this day forward.</p> |

Data Source : Financial Supervisory Commission Laws and Regulations Retrieving System