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Issue No.: Financial-Supervisory-Securities-Firms-10600152051 Ch

Date: 2017.05.22

Legislative: Order of the Financial Supervisory Commission (FSC)

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Content: 1. In accordance with the proviso of Article 45, paragraph 1 of the Securities and Exchange Act, the FSC hereby allows securities firms that, pursuant to the Regulations Governing Foreign Exchange Business of Securities Enterprises, have applied to and received permission from the Central Bank to conduct spot foreign exchange transactions in connection with securities business, to conduct such spot foreign exchange transactions in connection with securities business.

2. This Order is effective from this day forward. FSC Order No. Financial-Supervisory-Securities-Firms-1030006062 of 17 March 2014 is repealed from this day forward.

Originals: To be posted on the public notice boards of the FSC and the Securities and Futures Bureau of the FSC

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