

Content

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An OSU may receive funds or make payments on behalf of a customer under the following circumstances by using the segregated foreign exchange deposit account of the account custody business under Article 22-4, paragraph 1, subparagraph 5 of the Offshore Banking Act: (1) when an OSU conducts foreign currency derivatives business (including foreign exchange currency derivatives business between foreign currencies in connection with securities business) approved by the competent authority under Article 22-4, paragraph 1, subparagraph 7 of the Offshore Banking Act; (2) when an OSU trades across foreign currencies on behalf of a customer in connection with securities business; (3) when an OSU engages with a customer in spot foreign exchange trading business between foreign currencies in connection with securities business.

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