

Content

Title : Regulations Governing Qualification Requirements for the Promoter or Responsible Persons of Financial Holding Companies and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of a Financial Holding Company [Ch](#)

Date : 2015.09.16

Legislative : 1. Full text of 10 articles adopted and promulgated on 31 October 2001 per Order No. (90)-Tai-Tsai-Rong-(II)-0900006540 of the Ministry of Finance; for enforcement from 1 November 2001
2. Name and full text of 18 articles amended and promulgated on 22 February 2005 per Order No. Jin-Kuan-Yin-(VI)-0946000086 of the Financial Supervisory Commission, Executive Yuan; for enforcement from the date of issuance except for Article 4, paragraph 3, and Article 14, paragraph 2, which are to be enforced from 1 January 2006 (original name: Regulations Governing the Scope of Financial Holding Company Promoters and Responsible Persons and their Qualification Requirements)
3. Article 4 and 18 amended on 4 February 2010 per Order No. Jin-Guan-Fa-Zi- 09900542800 of the Financial Supervisory Commission, Executive Yuan
4. Name and full text of 17 articles amended and promulgated on 19 October 2010 per Order No. Jin-Kuan-Yin-Kong-Zi-09960005670 of the Financial Supervisory Commission, Executive Yuan
5. Article 12 to 15 amended and article 16 deleted on 16 September 2015 per Order No. Jin-Guan-Fa-Zi- 10460003040 of the Financial Supervisory Commission

Content : as the attachment

Attachments : BB-10409162Regulations Governing Qualification Requirements for the Promoter.pdf

Data Source : Financial Supervisory Commission Laws and Regulations Retrieving System