

Content

Title : Financial-Supervisory-Securities-Firms-1030006062 [Ch](#)

Date : 2014.03.17

Legislative : Issue date: 17 March 2014

Content : 1. In accordance with the proviso of Article 45, paragraph 1 of the Securities and Exchange Act, the FSC hereby allows securities firms that, pursuant to the Regulations Governing Foreign Exchange Business of Securities Enterprises, have applied to and received permission from the Central Bank to conduct spot foreign exchange transactions across foreign currencies in connection with securities business, to conduct such spot foreign exchange transactions across foreign currencies in connection with securities business.

2. This Order is effective from this day forward.

Originals: To be posted on the public notice boards of the FSC and the Securities and Futures Bureau of the FSC

Copies: Legal Affairs Committee, Executive Yuan; Central Bank of the Republic of China (Taiwan); Taiwan Stock Exchange Corporation; GreTai Securities Market; Taiwan Securities Association; Taiwan Depository & Clearing Corporation; FSC (Financial Examination Bureau; Banking Bureau; Insurance Bureau, Department of Legal Affairs; Department of Information Management); Lex Data Information Inc.; Winkler Partners; all custodian banks

Data Source : Financial Supervisory Commission Laws and Regulations Retrieving System