

Content

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1. These directions are adopted pursuant to Article 5, paragraph 4 of the Regulations Governing Offshore Securities Branches.

2. An offshore securities branch's monthly balance sheets and monthly and quarterly business statements (see the format in the attachments).

3. An offshore securities branch, within 10 days after the end of each month, shall file the monthly statements referred to in the preceding paragraph through the Single Window for Securities Firm Filings.

4. After any foreign currency derivatives trade is executed, the offshore securities branch shall file the relevant information pursuant to the GreTai Securities Market Administration Rules for the Financial Derivative Trade Database.

5. This Order is effective from this day forward.

Originals: To be posted on the public notice boards of the FSC and the Securities and Futures Bureau of the FSC.

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