Print Time: 114.12.23 19:50

Content

Title: Financial-Supervisory-Securities-Firms-0990054351 Ch

Date: 2010.10.04

Legislative: Issue date: 4 October 2010

Content: Offshore overseas Chinese and foreign nationals allowed to invest in offerings of stocks or Taiwan Depository Receipts by foreign companies prior to their primary exchange or OTC listing or secondary exchange or OTC listing in Taiwan, and the stocks underwritten in cash capital increases or a secondary issues of Taiwan Depository Receipts for those companies (Financial-Supervisory-Securities-Firms-0990054351)

Order of the Financial Supervisory Commission, Executive Yuan

Issue date: 4 October 2010

Issue no.: Financial-Supervisory-Securities-Firms-0990054351

1. In accordance with Article 4, paragraph 1, subparagraphs 1 and 6 of the Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals, the FSC hereby approves the offerings of stocks or Taiwan Depository Receipts by foreign companies prior to their primary exchange or OTC listing or secondary exchange or OTC listing in Taiwan, and the stocks underwritten in cash capital increases or a secondary issues of Taiwan Depository Receipts for those companies, as provided in the Regulations Governing the Offering and Issuance of Securities by Foreign Securities Issuers, as within the scope of investments that may be made by offshore overseas Chinese and foreign nationals.

2. This Order is effective from this day forward.

Originals: Post on the public notice boards of the FSC, the FSC Securities and Futures Bureau, the FSC Insurance Bureau, and the FSC Banking Bureau Copies: Department of Foreign Exchange, Central Bank of the Republic of China (Taiwan); Taiwan Stock Exchange Corporation; GreTai Securities Market; Taiwan Securities Association; The Bankers Association of the Republic of China; Taiwan Depository & Clearing Corporation; Custodian Banks; FSC Department of Legal Affairs; FSC Financial Examination Bureau; Lex Data Information Inc.; Winkler Partners, Attorneys at Law

Data Source: Financial Supervisory Commission Laws and Regulations Retrieving System