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Title : Financial-Supervisory-Securities-Firms-1020014375 [Ch](#)

Date : 2013.05.31

Legislative : Issue date: 31 May 2013

Content : Order of the Financial Supervisory Commission

Issue date: 31 May 2013

Issue no.: Financial-Supervisory-Securities-Firms-1020014375

1. This Order is issued pursuant to Article 3, subparagraph 4 of the Regulations Governing Securities Investment and Futures Trading in Taiwan by Mainland Area Investors ("the Regulations").

2. The following Mainland Area investors are now allowed to engage in securities investment and futures trading in Taiwan:

(1) Qualified institutional investors approved by the competent banking authority of the Mainland Area.

(2) Qualified institutional investors approved by the competent insurance authority of the Mainland Area.

3. The qualified institutional investors specified above are also institutional investors for purposes of the Regulations.

4. This Order shall take effect from this day forward.

Originals: To be posted on the public notice boards of the Financial Supervisory Commission (FSC) and the Securities and Futures Bureau of the FSC.

Copies: Legal Affairs Committee, Executive Yuan; Taiwan Stock Exchange Corporation; GreTai Securities Market; Taiwan Securities Association; Taiwan Depository & Clearing Corporation; Financial Examination Bureau of the FSC; Banking Bureau of the FSC; Insurance Bureau of the FSC; FSC (Department of Legal Affairs and Department of Information Management); Lex Data Information Inc.; Winkler Partners, Attorneys at Law; all custodian banks.

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