


Content

| | |
|---------------|--|
| Title : | Financial-Supervisory-Securities-Firms-10000429131  |
| Date : | 2011.10.20 |
| Legislative : | Issue date: 20 October 2011 |
| Content : | <p>Order of the Financial Supervisory Commission, Executive Yuan Issue date: 20 October 2011 Issue no: Financial-Supervisory-Securities-Firms-10000429131</p> <ol style="list-style-type: none">1. When the original foreign shareholders of a primary TWSE-listed (or GTSM-listed) company under the Regulations Governing the Offering and Issuance of Securities by Foreign Issuers receive proceeds from an overallotment exercised by the underwriter, or receive funds due to the disposition of shares of the company through the Taiwan securities market, then after carrying out foreign investor registration in accordance with Article 10, paragraph 1 of the Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals, those funds may be retained in the settlement account for use in subsequent investments.2. The funds retained in a settlement account as stated above must be used only for settlement in order to comply with the requirement that they be used for investment.3. 30 October 2008 Order No. Financial-Supervisory-Securities-Firms-VIII-0970054151 of the Financial Supervisory Commission is repealed from this day forward; the present Order is effective from this day forward. <p>Originals: To be posted on the public notice boards of the FSC and the Securities and Futures Bureau of the FSC. Copies: Legal Affairs Committee, Executive Yuan; Foreign Exchange Department, Central Bank; Department of Legal Affairs, FSC; Taiwan Stock Exchange Corporation; GreTai Securities Market; Taiwan Securities Association; Taiwan Depository & Clearing Corporation; Lex Data Information Inc.; Winkler Partners, Attorneys at Law; all custodian banks; Public Company Shareholder Services Association of the ROC; Department of Information Management, FSC.</p> |

Data Source : Financial Supervisory Commission Laws and Regulations Retrieving System