

Content

Title :	The Organic Act of the Financial Examination Bureau, Financial Supervisory Commission Ch
Date :	2011.06.29
Legislative :	<p>1.The full text (seven articles) was promulgated by Presidential Order No. Hua-Zong-Yi-Yi-Zi-09700002301 dated January 9, 2008 and became effective from the day of promulgation.</p> <p>2.Amendments to the title and full text (seven articles) were promulgated by Presidential Order No. Hua-Zong-Yi-Yi-Zi-10000136511 dated June 29, 2011; the implementation date on July 1, 2012 was determined by the Executive Yuan by Order No. Yuan-Shou-Zong-Zi-1002261392 dated October 31, 2011.</p>
Content :	<p>Article 1</p> <p>The Financial Supervisory Commission establishes the Financial Examination Bureau (hereinafter referred to as the “Bureau”) for purposes of the supervision and examination of financial institutions and the formulation, planning, and implementation of related policies, laws, and regulations.</p> <p>Article 2</p> <p>The Bureau shall be in charge of the formulation, planning, and implementation of the following matters:</p> <p>1.Establishing the financial examination system of financial holding companies, banking enterprises, securities enterprises, futures enterprises and insurance enterprises.</p> <p>2.Examination of financial holding companies, banking enterprises, securities enterprises, futures enterprises and insurance enterprises.</p> <p>3.Examination of foreign establishments of banking enterprises, securities enterprises, futures enterprises and insurance enterprises.</p> <p>4.Reviewing call reports submitted by financial holding companies, banking enterprises, securities enterprises, futures enterprises and insurance enterprises.</p> <p>5.Reviewing internal audit reports of financial holding companies, banking enterprises, securities enterprises, futures enterprises and insurance enterprises, and handling matters relating to internal audit.</p> <p>6.Following up and reviewing improvements made on the findings revealed in examination reports of financial holding companies, banking enterprises, securities enterprises, futures enterprises and insurance enterprises.</p> <p>7.Collection and analysis of financial examination information.</p> <p>8.Other matters in relation to financial examinations.</p> <p>Article 3</p> <p>The Bureau shall have one director general, who shall be a regularly appointed senior civil servant at occupational grade 13, and two deputy directors general, who shall be regularly appointed senior civil servants at occupational grade 12.</p> <p>Article 4</p> <p>The Bureau shall have one chief secretary, who shall be a regularly appointed senior civil servant at occupational grade 11.</p>

Article 5

The civil service rank, occupational grade, and staffing quotas for each occupational title within the Bureau shall be separately specified in a personnel organization table.

Article 6

Personnel qualified for civil service appointment who were transferred prior to enforcement of this Act (in accordance with the provisions of Article 31, paragraph 1 of the "Organic Act Governing the Establishment of the Financial Supervisory Commission, Executive Yuan") to the Bureau upon special approval by the Executive Yuan, may from the date of transfer be exempted from the restrictions upon special tests, special appointments, and transfers prescribed in the Civil Service Examination Act, the Civil Service Appointment Act, and civil service special examination regulations; provided, however, that when next transferred they may only go to either a government agency that personnel who passed the original special examination were eligible to go to, or to the Bureau.

Article 7

The Executive Yuan shall prescribe by order the implementation date of this Act.

Data Source : Financial Supervisory Commission Laws and Regulations Retrieving System