Attachment 4   Application by Insurance Company for Business License

To: Financial Supervisory Commission (FSC)

Subject: In accordance with Article 137 of the Insurance Act and Article 11 of the "Regulations Governing the Establishment and Administration of Insurance Enterprises," the following documents are submitted in triplicate to apply for a business license.

Company name	
Line(s) of business	
Head office location	
Branch locations	See next page (fill in information on next page)
Paid-in capital	
Number of issued shares	
Issue price per share	
Date and reference number of establishment approval by competent authority	
Application date	

Notes	1. Company registration documents.
	2. Capital verification certificate.
	3. Documentary proof that the bond required under Article 141 of the Insurance Act has been furnished.
	4. Articles of incorporation.
	5. Minutes of promoters meetings or founders meetings
	6. Register of shareholders.
	7. Register of directors and meeting minutes of the board of directors.
	8. Register of managing directors and meeting minutes of the board of managing directors.
	9. Supervisors register and supervisor reports or meeting minutes.
	10. Register of managerial officers, actuaries, underwriters, claims adjusters, general auditors, and legal compliance officers.
	11. Company bylaws and business operating procedures.
	12. Statement affirming that none of the disqualifying conditions listed under Article 3 of the "Regulations Governing Qualification Requirement and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of Insurance Enterprises" applies to any of the applicant's promoters.
	13. Undertaking acknowledging that any party subscribing to more than 15 percent of the total number of shares to be issued is obliged to state the sources of its funds.

Applicant:

Representative:

  Contact person:

  Address:

  Telephone:

Branch locations:

1.

2.

3.

4.

5.